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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

FEB 05 2001

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/607,891	06/30/2000	Garnet G. Morris	55711-2

Kramer Levin Naftalis & Frankel LLP  
919 Third Avenue  
New York, NY 10022

## FORMALITIES LETTER



\*OC00000005443134\*

Date Mailed: 10/02/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/607,891  
KZEND1E  
02/07/2001  
01 FC:105  
130.00 OP



1/3 SECTOR  
Docket No.: 55711/0002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Morris, et al

Serial No: 09/607,891

Filed: June 30, 2000

For: RETIREMENT COMPENSATION AGREEMENT FINANCING  
SYSTEM AND METHOD

Assistant Commissioner for  
Patents  
Washington, DC 20231  
Box Missing Parts

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope  
addressed to Assistant Commissioner for Patents, Washington, D.C.  
20231 on:

Date: Feb 2 2001  
Signature: Caleb J. Pollack  
Caleb J. Pollack, Reg. No. 37,512

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
AND PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)**

To complete the filing requirements for the above referenced application under 37 CFR 1.51, enclosed please find a signed Declaration and Power of Attorney executed in counterparts.

A check in payment of the 37 CFR 1.16(e) surcharge fee of \$130.00 is enclosed.

Applicant respectfully requests a two-month extension of time in which to respond to the Notice to File Missing Parts mailed October 2, 2000 for which a response period expired on December 2, 2000. The extended period expires on February 2, 2000. A check in the amount of \$390.00 is enclosed in payment of the extension fee.

02/07/2001 KZEWNDIE 00000075 09607891

02 FC:116

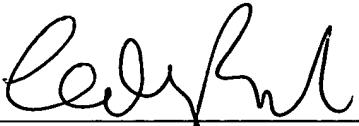
390.00 0P

If any additional fees are due the Commissioner is authorized to charge such fees or credit any overpayment in connection with this paper to Deposit Account No. 50-0540.



Dated: February 2, 2001

Respectfully submitted,

By: 

Caleb Pollack (Reg. No. 37,912)

KRAMER LEVIN NAFTALIS & FRANKEL LLP  
919 Third Avenue  
New York, New York 10022  
(212) 715-9115 (telephone)  
(212) 715-8000 (facsimile)